### Processes & ECF



CS 351: Systems Programming Melanie Cornelius

Slides and course content obtained with permission from Prof. Michael Lee, <lee@iit.edu>



### Reminders

- I. Look for emails from Fourier
- 2. Look for emails from IIT VPN
- 3. Look for emails from GitHub
- 4. Solutions to Quiz 1 posted!
- 5. Read CH 8 in CS:APP



### Agenda

- Definition & OS responsibilities
- Exceptional control flow
  - synch vs. asynch exceptions
  - exception handling procedure



# § Definition & OS responsibilities



a **process** is a *program in execution* - it is the foundational unit of computation

- programs describe what we want done,
- processes carry out what we want done



a process has:

- code (program)
- runtime data (global, local, dynamic)
- PC, SP, FP & other registers

a process also:

- exists on some subset of system hardware
- can **communicate** with other processes
- can **use** other static materials (files, etc)





essential to program execution is *predictable, logical control* flow

which requires that nothing disrupt the program mid-execution



#### easiest way to guarantee this is for a process to "own" the CPU for its entire duration (i.e., no-one else allowed to run)

... downsides?



- I. No multitasking!
- 2. A malicious (or badly written) program can "take over" the CPU forever
- 3. An idle process (e.g., waiting for input) will underutilize the CPU



the operating system simulates a *seamless logical* control flow for each active process

many of which can be taking place *concurrently* on one or more CPUs



#### Discussions





### Logical control flow





### Physical flow (one compute)





### Physical flow (two computes)



#### This is extremely elegant!

From the perspective of the program, the entire machine belongs to itself! (virtualization)

From the perspective of the process, it controls its runtime! (isn't interrupted by anything other than itself)



#### To implement this, we empower the OS. We need: periodic clock interrupt

 a mechanism to periodically *interrupt* the current process to run the OS

scheduler

- 2. an OS module that *schedules* processes
- 3. a way to help seamlessly *switch* context switch between processes



#### Discussions



#### to implement scheduling and carry out context switches, the OS must maintain a wealth of *per-process metadata*



a process has:

- code (program)
- runtime data (global, local, dynamic)
- PC, SP, FP & other registers
- OS metadata, aka process control block
  - e.g., PID, mem/CPU usage, pending syscalls
- a process also:
- exists on some subset of system hardware
- can **communicate** with other processes
- can **use** other static materials (files, etc)



#### actions that take place outside a process's logical control flow (e.g., context switches), but may still affect its behavior are part of the process's *exceptional* control flow



# **§** Exceptional Control Flow



#### Discussions



Two classes of exceptions:

- I. synchronous
- II. asynchronous



Two classes of exceptions:

#### I. synchronous

II. asynchronous



### **Synchronous** exceptions are caused by the *currently executing* instruction



#### 3 subclasses of synchronous exceptions:

- I. traps Intentional!
- 2. faults Usually unintentional but might recover
- 3. aborts Unintentional cannot recover



#### I. traps

### traps are intentionally triggered by a process e.g., to invoke a system call



```
char *str = "hello world";
int len = strlen(str);
write(1, str, len);
```

- # syscall num
- # trap instr



### return from trap (if it happens) resumes execution at the next instruction

i.e., looks like a function call!



#### 2. faults

# faults are usually unintentional, and may be recoverable or irrecoverable

e.g., segmentation fault, protection fault, page fault, div-by-zero



# often, return from fault will result in *retrying* the faulting instruction

— esp. if the handler "fixes" the problem



#### 3. aborts

### aborts are unintentional and irrecoverable i.e., abort = program/OS termination e.g., memory ECC error



Two classes of exceptions:

- I. synchronous
- II. asynchronous



Two classes of exceptions:

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### **Asynchronous exceptions** are caused by events *external to* the current instruction



```
int main() {
    while (1) {
        printf("hello world!\n");
    }
    return 0;
}
```

hello world! hello world! hello world! hello world! **^C** \$



### hardware initiated asynchronous exceptions are known as *interrupts*

e.g., ctrl-C, ctrl-alt-del, power switch



interrupts are associated with specific processor (hardware) pins

- -checked after every CPU cycle
- -associated with handler functions via the "interrupt vector" - array of pointers to handlers in the OS code



Typical interrupt procedure:

- I.save process context
- 2.load OS
- 3.run handler & scheduler
- 4.load process context (might not match process from #1!)

5.return



#### Discussions



#### important: after switching context to the OS (for exception handling), there is **no guarantee a process will be switched back in!**



Cost of metadata saving, loading new code, no guarantee of return --

# switching context to the kernel is **potentially very expensive**

— but it's often the only way to do what needs doing! (sys calls, IO, etc)



#### Moral:

### use system calls as **sparingly** and as **efficiently** as possible!

